



Corporate Brokers Ltd.

(Participant of The Stock Exchange of H.K. Ltd.)
21/F Bupa Centre, 141-143 Connaught Road West, Sai Ying Pun, HK
Tel: 2832 0137 Fax: 2832 0151

致 貴客戶

更改客戶協議的條件及條款

由於多年來儲蓄利率一直處於低位，所以本公司現正與銀行安排，以增加本公司代客戶存放於銀行信託帳戶內款項的利息收入，預計於今年年尾可落實安排，到時本公司會再通知客戶有關詳情。請留意，上述安排只適用於選用信託戶口的客戶。

為配合上述安排，本公司現修改現金客戶協議第九條（及保證金客戶協議第十條）代客戶持有現金的條款如下：

代本人／吾等持有之現金

代本人／吾等持有之現金（此等現金不包括 貴公司就交易取得，而且須為交收而轉付或轉付予本人／吾等之現金）須依照適用法律不時之規定，存放於一家持牌銀行所開立之一個客戶信託帳戶內。*存入該等客戶信託帳戶的款項所收的利息將歸 貴公司所有，儘管如此，本人／吾等知悉 貴公司會就本人／吾等的現金結餘給予利息，而利率則由 貴公司全權決定，並將不時通知本人／吾等。

另外，本公司亦修改了現金客戶協議第八條（及保證金客戶協議第九條）關於分派紅股的處理：

證券之妥為保管

倘證券未以本人／吾等之名義註冊， 貴公司於收到該等證券所產生之任何股息或其他利益時，須按本人／吾等與 貴公司之協議記入本人／吾等之帳戶或支付予或轉帳予本人／吾等。倘該等證券屬於 貴公司代客戶持有較大數量之同一證券之一部份，本人／吾等有權按本人／吾等之持股份額獲得該等證券所產生之利益中相同份額之利益。*本人／吾等知悉不足一股的紅股將不獲處理及不會分派給本人／吾等。

***劃上底線部份為新增加條文**

上述更改將於 2018 年 11 月 1 日開始生效。若客戶有任何疑問，歡迎致電客戶經理或本公司客戶服務部林小姐（電話 28320132）查詢。

協聯證券有限公司

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2018 年 9 月 28 日



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Dear Customer,

Amendments on Terms and Conditions of Client Agreement

As interest rates for savings accounts have been very low for a long time, we are making arrangement with our bankers so as to improve interest income for money kept by us for our clients in the client trust accounts. It is expected that the arrangement can be implemented by end of the year, and we shall notify you of the details in due course. Please note that this will benefit clients using our trust account service only.

In order to cope with the arrangement, it is necessary for us to revise the terms and conditions in our client agreement regarding “Cash held for me / us” (clause 9 in the client agreement for cash account and clause 10 in the client agreement for margin account) as follows :

Cash held for me/us

Any cash held for me/us, other than cash received by the Company in respect of Transactions and which is on-paid for settlement purposes or to me/us, shall be credited to a client trust account maintained with a licensed bank as required by applicable laws from time to time. *The Company is entitled to receive for its own benefit any sum derived by way of interest on all amounts held in such client trust account(s). Notwithstanding that, I/We acknowledge that the Company will pay me/us interest on my /our cash balance at interest rate to be determined by the Company at its sole discretion which shall be notified to me/us from time to time.

Besides, we revise the terms regarding “Safekeeping of Securities” (clause 8 in the client agreement for cash account and clause 9 in the client agreement for margin account) so as to clarify the treatment of fractional bonus shares :

Safekeeping of Securities

Where Securities are not registered in my/our name(s), any dividends or other benefits arising in respect of such Securities shall, when received by the Company, be credited to my/our Account or paid or transferred to me/us, as agreed with the Company. Where the Securities form part of a larger holding of identical Securities held for the Company’s clients, I/we shall be entitled to the same share of the benefits arising on the holding as my/our share of the share holding. *I/We acknowledge that fractional entitlements to bonus Securities shall be disregarded and will not be distributed to me/us.

***underlined are newly added terms**

Please note that the amendments will take effect from 1st November 2018. Please contact your Account Manager or our Customer Service Officer Ms Lam at 2832 0132 if you have any query.

Corporate Brokers Limited

28th September, 2018