

協聯證券有限公司 Corporate Brokers Limited
證券提取表格 Securities Withdrawal Form

(CB Form 9)

日期

Date: _____

參考號碼

Reference No.: _____

I. 帳戶資料 Account Information

帳戶名稱

(中文 Chinese)

Account Name

(英文 English)

帳戶號碼

Account No.

II. 交收指示/提貨資料 Settlement Instruction(s)/Withdrawal Information

請按本人指示
Please act on
my behalf to:-

由貴公司經中央結算有限公司進行交收指示將下列股票轉到其他證券公司
execute settlement instruction (SI) through CCASS for transferring the following shares
to other broker.

請填寫 (a) 與 (b) 部份
[Please complete Part (a) & (b)]

進行投資者交收指示將下列股票轉到本人於中央結算有限公司之戶口
execute investor settlement instruction (ISI) through CCASS for transferring the following
shares to my account with CCASS.

請填寫 (a), (c) 與 (e) 部份
[Please complete Part (a), (c) & (e)]

將下列之股票轉往本人於協聯財務有限公司/協聯證券有限公司之戶口
transfer the following share(s) to my account with Corporate Finance(DTC)Ltd/Corporate Brokers Ltd.

請填寫 (a), (d)與 (e) 部份
[Please complete Part (a), (d) & (e)]

於本人之戶口提取下列之股票
withdraw the following physical share(s) from my account

請填寫 (a) 與 (e) 部份
[Please complete Part (a) & (e)]

(a) 有關股票如下
List of share(s)

輸入日期

Input Date: _____

交收日期

Settlement Date: _____

股票代號 Stock Code	股票名稱 Stock Name	股數 Quantity	付款方式 ** **Method of Payment	銀碼 Amount (HKD)	過戶紙張數 No.of Transfer Deed req	只供本公司填寫 For Official Use Only	倉庫 Depot
1			DVP FOP RDP		___ X ___		
2			DVP FOP RDP		___ X ___		
3			DVP FOP RDP		___ X ___		
4			DVP FOP RDP		___ X ___		
5			DVP FOP RDP		___ X ___		
6			DVP FOP RDP		___ X ___		

**Please put a cross in the box (for SI / ISI only), where DVP = Delivery Versus Payment, FOP = Free Of Payment, RDP = Realtime Delivery Versus Payment
請在空格上加 x (只適用於交收指示或投資者交收指示), DVP = 貨銀對付 FOP = 無需付款 RDP = 即時貨銀對付

(b) 交收指示資料
Details for Settlement Instruction

對方公司名稱

Name of counter-party : _____

公司編號

Firm ID no.: _____

聯絡人姓名及電話號碼

Contact person and phone no.: _____

(d)股票轉往協聯財務有限公司/協聯證券有限公司 戶口資料

Details for share transfer to Corporate Finance (DTC) Ltd/Corporate Brokers Ltd

戶口帳號

Account no. _____

戶口名稱

Account name _____

(e) 聯絡人姓名及電話號碼

Contact phone no.: _____

(c) 投資者交收指示資料
Details for Investor Settlement Instruction

中央結算有限公司投資者戶口帳號

Investor account no. _____ with CCASS.

確認收妥股票簽署

Physical shares received by : _____

手續費 Handling fee:HK\$ _____

只供本公司填寫 For Official Use Only

Doc. Rec'd By	Sign. Verified By	Checked By	Officer	Input By	Input Checked By	Approved by

Client's Signature(s) 客戶簽署